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February 17, 2004

Attorney Docket No.: 13906-139001 / 2003P00611 US01

## Mail Stop Patent Application

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

Presented for filing is a new patent application claiming priority from a provisional patent application of:

Applicant: YUH-CHERNG WU, BERNHARD KOHLHAAS AND HORATIU-ZENO SIMON

Title: FILTERING PROCESS FOR INFORMATION RETRIEVAL SYSTEMS

Enclosed are the following papers, including those required to receive a filing date under 37 CFR §1.53(b):

	<u>Pages</u>
Specification	12
Claims	4
Abstract	1
Declaration	2
Drawing(s)	5

### Enclosures:

- Assignment cover sheet and an assignment, 2 pages, and a separate \$80 fee.
- New disclosure information, including:
  - Information disclosure statement, 1 pages.
  - PTO-1449, 1 pages.
  - References, 3 items.
- Postcard.

Under 35 USC §119(e)(1), this application claims the benefit of prior U.S. provisional application 60/496,201, filed August 18, 2003.

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Basic filing fee	\$770
Total claims in excess of 20 times \$18	\$36
Independent claims in excess of 3 times \$86	\$258
Fee for multiple dependent claims	\$0
Total filing fee:	\$1064

A check for the filing fee is enclosed. Please apply any other required fees or any credits to deposit account 06-1050, referencing the attorney docket number shown above.

If this application is found to be incomplete, or if a telephone conference would otherwise be helpful, please call the undersigned at (612) 335-5070.

Kindly acknowledge receipt of this application by returning the enclosed postcard.

Please direct all correspondence to:

32864

PTO Customer Number

Respectfully submitted,



Raymond R. Berdie

Reg. No. 50,769

Enclosures

RRB/jxw

60196704.doc

Client/Matter Number: 13906-139001

Client/Matter Name: Generic Information Security Framework

Sec	Att	PS	Check Items
<b>PRE-FILING DETERMINATIONS</b>			
<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<b>IDS Timing</b> The IDS is being filed: <input checked="" type="checkbox"/> with a new application OR within 3 months of the filing date of the application <input type="checkbox"/> before the mailing date of a 1st office action on the merits <input type="checkbox"/> before the mailing date of a final office action OR a notice of allowance <input type="checkbox"/> Late fee under §1.17(p) is paid OR certification under §1.97(e)(1) is made. <input type="checkbox"/> after the mailing date of a final office action OR a notice of allowance. <input type="checkbox"/> certification under §1.97(e)(1) is made <input type="checkbox"/> petition requesting consideration of the IDS is made, and <input type="checkbox"/> petition fee under §1.17(i)(1) is paid
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<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<b>Caption</b> (1) serial number, & (2) filing date have been checked for accuracy against information in the file.
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<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<b>PTO 1449 form</b> (1) lists all required information for each type of reference, & (2) lists ALL references in parent applications
<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<b>Relevance</b> <i>N/A</i> Relevance of each non-English reference is described, e.g., by submitting a translation of the reference, an English abstract of the reference, or an English language counterpart application/patent of the reference
<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<b>Communication</b> <input checked="" type="checkbox"/> NONE <input type="checkbox"/> INCLUDED: A copy of any communication of a foreign patent office in a counterpart application (e.g., a search report) citing any of the enclosed references
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Checked By: <i>HRB</i> <div style="float: right; text-align: right;"> <i>RRB</i>      <i>2-17-04</i>              Secretary      Attorney/Agent      Date           </div>			